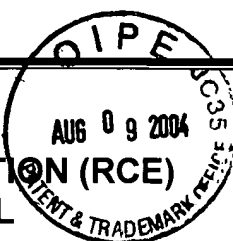


**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).



Application Number	09/911,218
Filing Date	July 23, 2001
First Named Inventor	QIU ET AL.
Group Art unit	1762
Examiner Name	Jennifer Kolb Michener
Attorney Docket Number	CLV-31563P1

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-2965
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$_____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Jian Zhou	Registration No. (Attorney/Agent)	Reg. No. 41,422 Agent for Applicants
Signature		Date	08/05/2004

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Post on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Sara Carter
Type or print name

Signature

August 05, 2004
Date

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08/10/2004 JBALINAH 00000141 502965

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CASE CL/V-31563P1

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Sara Carter
Type or print name

Sara Carter
Signature

August 05, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

EXAMINER:

QIU ET AL.

Jennifer Kolb Michener

APPLICATION NO: 09/911,218

Art Unit: 1762

FILED: July 23, 2001

**FOR: PROCESS FOR SURFACE MODIFYING SUBSTRATES AND MODIFYING
SUBSTRATE RESULTING THEREFROM**

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT D AND REQUEST FOR CONTINUED EXAMINATION

Dear Sir:

The Office Action dated May 19, 2004 from Examiner Jennifer Kolb Michener of Art Unit 1762 has been received and reviewed. In response to the final Office Action, Applicants submit a Request for Continued Examination under 37 CFR 1.114 of this application and respectfully request that the Examiner reconsider the rejections in view of the amended claims and following remarks, as set forth below: